

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
Shusaku Shibasaki et al.

Date: January 19, 2007

Appln. No.: 10/557,519  
Int'l Appln. No.: PCT/IB04/02329

Examiner: Not Yet Assigned

Confirmation No. 8801

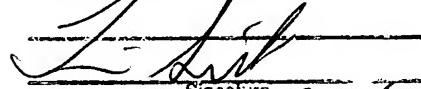
Int'l Filing Date: May 27, 2004

Docket No.: OT-5302

Title: ELEVATOR DEVICE

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
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 Date

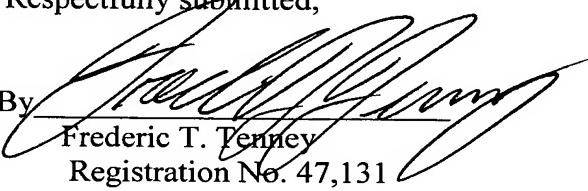
## RESPONSE TO NOTIFICATION OF INSUFFICIENT FEES

Applicant is in receipt of a Notification of Insufficient Fees (DO/EO/US), copy enclosed, indicating that the application search fee is insufficient.

Please charge the \$300.00 additional search fee and \$130.00 surcharge to our credit card. Applicant has enclosed a credit card authorization form.

Respectfully submitted,

By

  
 Frederic T. Tenney  
 Registration No. 47,131

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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
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[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/557,519	Shusaku Shibasaki	OT-5302

Lisa A Bongiovi  
 Otis Elevator company  
 Intellectual Property Dept  
 Ten Farm Springs  
 Farmington, CT 06032

RECEIVED IN DEPT.  
 SECTION 1-7-07  
 DUE DATE Fees Are  
 ATTY FWT 2-12-07

INTERNATIONAL APPLICATION NO.

PCT/IB04/02329

I.A. FILING DATE	PRIORITY DATE
05/27/2004	05/27/2003

CONFIRMATION NO. 8801  
**371 FORMALITIES LETTER**



\*OC000000021619337\*

Date Mailed: 12/18/2006

## Notification of Insufficient Fees (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 11/19/2005
- Copy of the International Search Report filed on 11/19/2005
- Preliminary Amendments filed on 11/19/2005
- Oath or Declaration filed on 11/19/2005
- Request for Immediate Examination filed on 11/19/2005
- U.S. Basic National Fees filed on 11/19/2005
- Assignment filed on 11/19/2005
- Priority Documents filed on 11/19/2005
- Specification filed on 11/19/2005
- Claims filed on 11/19/2005
- Abstracts filed on 11/19/2005
- Drawings filed on 11/19/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$430** for a Large Entity:

- **\$130** Surcharge.

- The application search fee is insufficient. Applicant must submit \$300 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

PATRICIA A BOOKER

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Telephone: (703) 308-9140 EXT 204

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/557,519	PCT/IB04/02329	OT-5302

FORM PCT/DO/EO/923 (371 Formalities Notice)